

**Bucknell University**

**Financial Conflict of Interest (FCOI) Disclosure Form  
PHS and National Institutes of Health (NIH)**

<b>Name:</b>	
<b>Department:</b>	
<b>Email:</b>	
<b>Campus Phone:</b>	
<b>Date:</b>	

**DISCLOSURE FOR PHS/NIH PROPOSALS AND FUNDED PROJECTS**

Per federal regulations (2 C.F.R. Part 50 Subpart F), all Investigators applying for and working on PHS/NIH funded research must disclose significant financial interests (SFIs) that are related to the Investigator's institutional responsibilities. Investigators are all persons, regardless of title or position, who are responsible for the design, conduct, or reporting of research proposed for funding by PHS agencies, including collaborators or consultants.

In making this certification, all Investigators must disclose SFIs related to their institutional responsibilities (and those of the Investigator's spouse, domestic partner and dependent children). SFIs are defined below and all SFIs that meet the listed thresholds listed must be disclosed.

- **SFI In Publicly Traded Entities** – the value of remuneration in the 12 months preceding disclosure and the value of any equity interest as of the date of disclosure that, when aggregated, exceeds \$5,000.
- **SFI In Non-Publicly Traded Entities** - the value of remuneration in the 12 months preceding disclosure exceeding \$5,000; or any equity interest in a non-publicly traded entity (e.g., stock option, or other ownership interest).
- **Intellectual Property** - (IP) rights and interests, upon receipt of income related to such rights and interests (excluding IP rights assigned to the Bucknell and agreements to share royalties related to such rights).
- **Reimbursed or Sponsored Travel** - any and all travel paid on behalf of the Investigator and not reimbursed directly to Investigator so that the exact monetary value may not be readily available (in the last 12 months). This does not include travel on sponsored projects if charged to a grant account, travel that is sponsored by a Federal, State, or local government agency, or service on advisory or review panels for government agencies or institutions of higher education (IHE) or facilities affiliated with IHEs.

An SFI does not include:

- Salary, royalties, or other remuneration paid by Bucknell to the Investigator, if the Investigator is currently employed or otherwise appointed by Bucknell, including income from IP rights assigned to Bucknell;
- Income from investment vehicles, such as mutual funds and retirement accounts, as long as the Investigator does not directly control the investment decisions made in these vehicles;
- Income from seminars, lectures, or teaching engagements sponsored by a Federal, state, or local government agency, an Institution of higher education as defined at 20 U.S.C. 1001(a), an academic teaching hospital, a medical center, or a research institute that is affiliated with an institution of higher education; or
- Income from service on advisory committees or review panels for a federal, state, or local government agency, an institution of higher education as defined at 20 U.S.C. 1001(a), an academic teaching hospital, a medical center, or a research institute that is affiliated with an institution of higher education.

**Bucknell University**  
**PHS / NIH FCOI CERTIFICATION AND DISCLOSURE FORM**

**Project Status/Reason for Disclosure (check one):**

New Submission

New/Change of Investigator

**Title of Proposal:**

CERTIFICATION (*Indicate one option and please sign.*)

1. I hereby certify that I have read and understand the Bucknell PHS/NIH FCOI Policy. I certify that to the best of my knowledge neither I, my spouse, partner, nor my dependents hold any SFIs that would reasonably appear to be related to my research, teaching, and service responsibilities to Bucknell University.

2. I hereby certify that I have read and understand the Bucknell PHS/NIH FCOI Policy. I have the following relationships, affiliations, activities, or interests which constitute SFIs under the Bucknell PHS/NIH FCOI Policy (complete section 2.a. below).

<i>(print name)</i>	<i>(signature)</i>
<b>Role on project</b> (e.g., PI, Co-PI, Senior/Key Personnel, Consultant, Unpaid Consultant/Collaborator, Other):	

**2.a. Please describe the SFI below or attach separate document:**

Note: All Investigators and personnel who have or share responsibility for the design, conduct, or reporting of PHS or NIH sponsored research must complete this certification and disclosure form. Bucknell's PHS/NIH FCOI Policy can be accessed at:  
<https://myweb.bucknell.edu/academic-areas/provost/sponsored-projects/research-and-regulatory-compliance-policies-procedures>